

## Technology Readiness Levels in ARTES Technology & Product Developments

| Level | TRL Technology Readiness Level (Space and Ground Segments)                       |   |   |   | SRL Service Readiness Level<br>(System)  |
|-------|--|---|---|---|--|
|       | Capabilities   | Space Segment model                                 | Ground Segment model  | Software model                                  |  |
| 1     | Basic principles observed and reported   |   | Idea or concept   | Research results or preliminary algorithm       | not applicable   |
| 2     | Technology concept/ application formulated                                       |   | Concept supported by paper  | Individual algorithms for main functions        | Application/service concept formulated, market opportunities not yet addressed                               |
| 3     | Analytical and experimental critical function or characteristic proof-of-concept | Mathematical models, supported e.g. by sample tests | Demonstrate feasibility   | Prototype of the main functions                 | Concept analysis performed and target market identified  |
| 4     | Functional verification of component / breadboard in laboratory environment      | Breadboard  | Partial prototype   | Alpha version covering the main functions       | Application/service verification in laboratory environment, market segment(s) and customers/users identified |
| 5     | Critical function of component / breadboard verified in a relevant environment   | Scaled EM for the critical functions                | Reduced scale prototype (for large pieces)                            | Beta version covering all functions             | Application/service verified using operational elements, customers/users not involved                        |
| 6     | Demonstration of element critical functions in a relevant environment            | Full scale EM representative for critical functions | Full prototype to demonstrate functionality                           | Product   | Demonstration of prototype in relevant environment, price policy identified                                  |
| 7     | Demonstration of element performance in the operational environment              | QM/EQM/PFM <sup>a</sup>                             | Verified Product with final BOM, layouts, released software, full GUI | Integrated product validated in a pilot case    | Trials with customers/users to validate utilisation and business models                                      |
| 8     | Actual system completed and accepted for flight                                  | PFM/FM  | Validated Product in operation and commercial offer ready             | Integrated product validated for full operation | Application/service completed and validated, commercial offer ready  |
| 9     | “Flight proven” system through successful mission operations                     | PFM/FM  | Product operationally deployed and used by paying customer            | Live product validated in a mission             | Application/service operationally deployed and used by paying customers                                      |

<sup>a</sup> A PFM may be used to achieve qualification provided that the commercial customer accepts the risk and it is demonstrated that the use of an alternative qualification model (e.g. EQM) is not viable. In this case the cost of the flight hardware is not supported by ESA.

See also (available on the ARTES web site at <https://artes.esa.int/documents>):

“Guidelines for the use of TRLs in ESA programmes”, ESSB-HB-E-002, Issue 1, Rev 0, 21 August 2013.

“Technology readiness level (TRL) guidelines”, ECSS-E-HB-11A, 1 March 2017.